# The Responsible Conduct of Research

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<thead>
<tr>
<th>Approval authority</th>
<th>Academic Committee</th>
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<tr>
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<td>14 November 2019 (4/2019 meeting)</td>
</tr>
<tr>
<td>Advisor</td>
<td>Manager, Research Ethics and Integrity</td>
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<tr>
<td>Description</td>
<td>This policy describes Griffith University’s commitment to responsible and ethical research practice</td>
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### Related documents
- [Private Practice Policy](#)
- [Consultancy and Commercial Research Policy](#)
- [Griffith University Research Ethics Manual](#)
- [Griffith University Guidelines for Animal Care and Use in Teaching and Research](#)
- [Conflict of Interest Policy](#)
- [Health and Safety Policy](#)
- [University Sector Retention and Disposal Schedule](#)
- [Records Management Policy](#)
- [Intellectual Property Policy](#)
- [Academic Misconduct Policy - Higher Degree Research Candidates](#)
- [Student Misconduct Policy](#)
- [Academic Staff Enterprise Agreement 2017-2021](#)
- [Professional and Support Staff Enterprise Agreement 2017-2021](#)
- [Research Integrity Breach Investigation Procedure](#)

### Training-related
- [Code of Practice for the Supervision of Higher Degree Research Students](#)
- [Higher Degree Research Supervisor Accreditation Policy](#)
- [Higher Degree Research Policy](#)
- [Bachelors Honours Degree (AQF Level 8) Policy](#)
- [Guidelines for Undertaking a Dissertation in Bachelor (Honours), Graduate Diploma and Masters Degree Programs](#)
- [Role Statement - Honours Program Director](#)
- [Position Statement - Dean (Research)](#)
- [Position Statement – Group Pro Vice Chancellor](#)
- [Position Statement - Head of School/Department](#)
- [Role Statement – Higher Degree Research Convenor](#)

### Related external codes and guidelines
- [National Statement on Ethical Conduct in Human Research (2007 updated 2018)](#)
- [Australian Code for the Responsible Conduct of Research (2018)](#)
- [Australian Code for the Care and Use of Animals for Scientific Purposes 8th edition 2013](#)
1. **POLICY**

Australian Code for the Responsible Conduct of Research, 2018 (Australian Code 2018) describes the core behaviours that characterise the responsible conduct of research. Griffith University adheres to and supports the Principles of responsible research conduct described in the Australian Code 2018 and complies with the **Responsibilities of institutions** described in the Australian Code. Further details of how Griffith University has implemented the Australian Code (2018) can be found in the University policies listed above.

Griffith University researchers must comply with the **Responsibilities of researchers** described in the Australian Code (2018).

Practical guidance and operational procedures with regard to these matters are provided by the Research Integrity Resource Sheets produced by the Office for Research.

2. **SCOPE**

This **Responsible Conduct of Research** Policy applies to any person or organisation conducting research under the auspices of Griffith University, including staff members, students, adjunct appointments, academic title holders, visiting appointments and contractors.

3. **MEANING OF ‘RESEARCH’**

This Policy is based on the same meaning of ‘research’ as adopted by the **Australian Code**, namely:

*The concept of research is broad and includes the creation of new knowledge and/or the use of existing knowledge in a new and creative way so as to generate new concepts, methodologies, inventions and understandings. This could include synthesis and analysis of previous research to the extent that it is new and creative.*

Griffith University research may include:

- professional practices in the visual and performing arts
- consultancies with research components
systematic investigations undertaken to improve the University's own functioning in teaching, research and administration.

A systematic investigation for University improvement purposes will fall within the scope of the Responsible Conduct of Research Policy only if:

- the investigation falls within the scope of the University's human or animal research ethics arrangements; or
- the results are likely to be published or reported beyond the University.

If the research involves human participants, for example, by the completion of questionnaires and participation in focus groups, the person conducting the research must consult the Griffith University Research Ethics Manual to see if the activity falls within the scope of the University's human research ethics arrangements before proceeding.

If the research involves the use of animals written approval or an exemption notification must first be gained from the Animal Ethics Committee.

4. MEANING OF ‘UNDER THE AUSPICES OF GRIFFITH UNIVERSITY’

Research under the auspices of Griffith University has a very broad scope. Without limiting its meaning, it includes funded and unfunded research, research that has no need of ethical clearance, and research conducted by general staff.

If any of the questions below are answered in the affirmative a researcher should be considered to be conducting work under the auspices of Griffith University, so is subject to the standards and responsibilities described in this document:

- Will the research activity/output be claimed for internal/external purposes through Griffith University?
- Will the work be identified (e.g. to potential participants, sites and in any output) as being Griffith University research?
- Are there any contracts/agreements associated with the work that will describe it as being under the auspices of Griffith University?
- Are there any invoices or other payments associated with the work that will describe it as being under the auspices of Griffith University?
- Is the work covered by Griffith University’s insurance/indemnity?

5. OBSERVANCE OF THE POLICY

Researchers and support staff must familiarise themselves with the Australian Code for the Responsible Conduct of Research (2018) and the research integrity resources produced by the University (e.g. the Research Integrity page maintained by the Office for Research).

6. CROSS-INSTITUTIONAL RESEARCH

When collaborating in cross-institutional research Griffith University researchers must adhere to this policy. Further guidance on such research is provided in the resource material produced by the Office for Research.

7. RESEARCH INTEGRITY ADVISERS

The University has appointed a network of experienced researchers to act as Research Integrity Advisers. The primary role of RIAs is to be a source of collegiate advice on research integrity matters.
Further guidelines about the Appointment, Role and Responsibilities of Research Integrity Advisers are produced by the Office for Research.

8. **BREACHES OF THE AUSTRALIAN CODE 2018**

Any alleged breach of the Australian Code 2018/research integrity complaint will be considered as per the procedure described in the Research Integrity Breach Investigation. This is Griffith University’s implementation of the Guide to Managing and Investigating Potential Breaches of the Australian Code for the Responsible Conduct of Research, 2018, modified for conformity to the University’s Enterprise Bargaining Agreements.